

# Nhan Le

## *Office address*

Australian National University  
Rm 3.02, 26C Building  
Canberra, ACT 2601, Australia

Office phone: (+61) 2 612 50702

Email: nhan.le@anu.edu.au

## **RESEARCH INTEREST**

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Behavioral finance, Economic geography, Banking industry, Labor economics.

## **PUBLICATION**

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“Local Bankruptcy and Geographic Contagion in the Bank Loan Market” with Jawad Addoum, Alok Kumar and Alexandra Niessen-Ruenzi. *Review of Finance* 2020

- European Finance Association (EFA) Conference in Vienna, August 2015\*, Research Camp at Australian National University, University of Mannheim and University of Miami\*.

## **RESEARCH**

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### **Working papers:**

“Local Information Environment and Accounting Fraud” with Jens Hagendorff and Duy Duc Nguyen. *R&R on Journal of Money, Credit and Banking*

- Financial Intermediation Research Society (FIRS) Conference, 2016, Lisbon, Portugal.\*
- Financial Management Association Conference in Orlando, Florida, USA 2015\*; University of Edinburgh\*, and University of Mannheim.

“Shareholder Litigation Risks and Stock Price Informativeness” with Louis Nguyen and Vathunyoo Sila. *R&R on Journal of Financial Markets*

- Finalist for the Corporate Finance best paper award sponsored by CSMAR, FMA Asia 2019.
- Presented at Heriot-Watt University, UK\*

“Financial Access and Productivity Improvement of Stagnant Industries” with Ha Nguyen and Emma Schultz

- Best paper award at VICIF conference in Vietnam, Jun 2018

“Does Shareholder Litigation Risk Cause Public Firms to Delist? Evidence from Securities Class Action Lawsuits “ with Jonathan Brogaard, Duc Duy (Louis) Nguyen, Vathunyoo (Ben) Sila

Stock Market Cycles and Investment of Unlisted Firms (with Mattias Nilsson and Yitao Zheng)

“Proximity to Local Bank Branches, Financial Flexibility and Corporate Liquidity Management” with Phong T.H. Ngo

- Top ten sessions and semi-finalist for best papers in corporate finance of Annual FMA in October 2016.
- Australian National University (RSFAS & Crawford School seminars)\*, Deakin University\*, La Trobe University\*, University of Mannheim, University of Washington\*, World Bank\*, the 2015 FIRN Annual Conference\* , 2016 European FMA.

“Intra-industry Spillover Effects: Evidence from Bankruptcy Filings” with Phong Ngo.

- Northern Finance Association (“NFA”) in Canada in September 2012.
- Australasian Finance and Banking Conference, held by the University of New South Wales, Sydney, Australia in December 2012.
- Financial Management Association European Conference in Luxembourg in May 2013.

“When More is Less: the Impact of Large Cash Holdings on Firm Performance Recovery”

Former title: “Do Large Cash Holdings Help or Hinder Firm Performance Recovery?”

- Accepted at the 23rd (2010) Annual Australasian Finance and Banking Conference, University of New South Wales in Australia in December 2010.
- Accepted at the Sixth International Conference on Asia-Pacific Financial Markets (CAFM), Korean Securities Association (KSA) in Seoul, Korea on December, 2011.
- Accepted at AFFI (French Finance Association International) in Strasbourg, France on May, 2012
- Norwegian School of Management BI, Norway and University of Gothenburg, Sweden, University of Mannheim in 2012.
- AsianFA conference in Taiwan in July 2012.
- Financial Management Association (FMA) in Europe – Maastricht May 2014.

“Contagious Negative Sentiment and Corporate Policies - Evidence from Local Bankruptcy Filings” with Jawad Addoum, Alok Kumar and Alexandra Niessen-Ruenzi.

- Northern Finance Association (“NFA”) in Ottawa, Canada in September 2014\*.
- Research in Behavioral Finance Conference in Rotterdam, Netherland in September 2014.
- AFFI- EUROFIDAI Finance Conference in Paris, in December 2014.
- Mid-Atlantic Research Conference in Finance (MARC) in Pennsylvania, March 2015\*.

## **ACADEMIC EXPERIENCE**

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From Aug 2016-present	Lecturer College of Business and Economics Australian National University
From Aug 2012-Jul 2016	Postdoctoral Fellow; Assistant Professor University of Mannheim, Germany

## TEACHING EXPERIENCE

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Feb 2017 – present	Lecturer Advanced Corporate Finance Australian National University
Aug 2012 – May 2016	Course organizer Corporate Finance and Risk Management; and University of Mannheim, Germany
Aug 2010 to Aug 2011	Discussion Leader Introduction to Business Leeds School of Business University of Colorado at Boulder, USA

## PROFESSIONAL ACTIVITIES

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### Work Experience

Jul 2005 – Jul 2006	Part-time Training Manager and Instructor Corporate Training Center, Vietnam Chamber of Commerce and Industry (“VCCI”) <b>Courses taught:</b> <ul style="list-style-type: none"><li>▪ How to prepare and interpret the statement of cash flow</li><li>▪ How to forecast working capital and cash flow requirements</li><li>▪ Steps to design an efficient costing system for management information</li></ul>
Jan 2004 – Jun 2005	Investment Officer Mekong Capital, a leading private equity firm, Ho Chi Minh City, Vietnam
Sep 1998 – Dec 2001	Audit Senior Ernst & Young, Ho Chi Minh City, Vietnam

### Presentations to Professional Groups

May 2006	Speaker in the seminar on “Corporate governance: Identify Challenges for Vietnamese Enterprises.”, held by VCCI in Ho Chi Minh City, Vietnam. Audience included senior management positions of foreign-owned, state, joint-stock and private companies.
October 2005	Speaker in the seminar on “Seeking Appropriate Financing Channel for Enterprise Development.”, held by VCCI in Ho Chi Minh City, Vietnam. Audience included senior management positions of foreign-owned, state, joint-stock and private companies
June 2005	Speaker on “How to Prepare, Analyze and Forecast the Statement of Cash Flow.” Monthly technical meeting of Vietnam Association of Accountants (“VAA”) for Foreign Direct Invested Companies.

## **NON-REFEREED PUBLICATIONS**

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“Issues of Risks Provision in Vietnam Banking Activities,”

*Vietnam Banking Review*, published monthly by the State Bank of Vietnam (in Vietnamese), Hanoi, July 2000.

“Opinions on Vietnamese Accounting Regulations for Fixed Assets,”

*Accounting Review*, published monthly by Vietnam’s Ministry of Finance (in Vietnamese), Hanoi, January 2000.

## **HONORS AND AWARDS**

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2007-2011	University of Colorado-Boulder, Leeds School of Business’s PhD Assistantship
2002-2003	University of Queensland’s Masters Scholarship (tuition and stipend), Australia
2003	Dean’s Honor Roll awarded for Outstanding Academic Excellence, University of Queensland, Australia, 2003.
1994-1998	Ministry of Education’s Bachelor Scholarship, Vietnam
1998	Dean’s Honor Roll awarded to the top three graduates (out of ~ 200 students) in the Department of Banking and Finance, University of Economics, Vietnam
1996	1st prize in a speaking contest held by the Youth of Economics Students, University of Economics, Vietnam
1996	1 <sup>st</sup> prize in Scientific Research Competition for Economics Students, University of Economics, Vietnam (joint work with Cong Nguyen and Anh Nguyen)
1994	Ranked fifth in the University of Economics’s entrance examination, Ho Chi Minh City, Vietnam (out of more than 10,000 participants)
1992	3rd prize in the Mathematics talent contest for all high school students at Ho Chi Minh City, Vietnam

## **EDUCATION**

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PhD in Finance

University of Colorado at Boulder, USA

Master of Financial Management

University of Queensland, Australia

BA in Finance

University of Economics, Ho Chi Minh City, Vietnam

## **MISCELLANEOUS**

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Technical Skills: Stata, SAS, Eview, Mathematica, and Matlab.

Languages: English (fluent) and Vietnamese (native).